Automating
Investment Operations

From Manual Processing to a Controlled, Connected Investment Operations Workflow

Client	Industry	Focus
A Leading Global Investment Management & Wealth Advisory Firm	Investment Management & Wealth Advisory	Investment Operations Automation

The Challenge

A leading investment management and wealth advisory organization needed to extend an existing investment-operations automation environment to support additional investor types and fund managers.

The process involved retrieving investment documents from fund-manager portals, extracting relevant information, reviewing and approving captured data, posting approved information into Backstop, monitoring processing status, and generating operational reporting.

Document Complexity

Managing Capital Calls, Distributions, Statements, and Estimates.

Multi-Step Processing

Retrieve → Capture → Review → Approve → Post → Monitor.

Operational Visibility

Improving visibility across data flow, exceptions, processing status, and reporting.

The eprotech Solution

Connecting the Investment Operations Workflow

eprotech connected document retrieval, data capture, human review, downstream posting, exception handling, and reporting into a controlled operating flow.

01 | Collect

Retrieve documents from fund-manager portals on schedule or on demand.

02 | Capture

Extract predefined fields from supported investment documents.

03 | Review

Enable operations teams to review, update, and approve captured information.

04 | Connect

Post approved Capital Call and Distribution information into Backstop.

05 | Monitor

Track process status, exceptions, fund details, and Power BI views.

What eprotech Delivered

Portal & Scheduling Automation

Supported scheduled and on-demand document retrieval, authentication flows, file naming, and Azure upload.

Document Data Capture

Enabled mapped-field extraction with operational review and approval.

Backstop Connectivity

Posted approved Capital Call and Distribution data into Backstop and surfaced exception details.

Operational Controls

Added role-based access, process statuses, filters, fund-manager views, and monitoring.

Power BI Reporting

Extended reporting and enhanced portfolio views, filters, transformations, relationships, and data tables.

Business Value

Faster Processing

Supported faster fund-data processing than the traditional process described in scope.

Expanded Automation

Extended automation to additional investor types and fund managers.

Stronger Controls

Introduced a controlled review and approval step before downstream posting.

Improved Visibility

Improved visibility into processing status, exceptions, fund details, and reporting.

Flexible Operations

Supported recurring monthly and on-demand operating cycles.

Capabilities Demonstrated

Investment Operations

Document-intensive workflow expertise.

Automation

Document retrieval and data capture automation.

Connected Workflows

API-connected operational processes and Backstop integration.

Operational Controls

Review, approval, exception handling, and monitoring.

Data & Analytics

Power BI reporting and operational visibility.

Support Readiness

Ongoing support and maintenance capability.

The Outcome

A More Controlled, Connected & Visible Investment Operations Workflow

By connecting collection, data capture, review, integration, exception handling, and monitoring, eprotech helped extend automation while improving operational visibility across the investment-operations process.

Automation | Control | Visibility

Contact us: sales@eprotech.ai

Visit: www.eprotech.ai