



Automating Investment Operations

From Manual Processing to a Controlled,
Connected Investment Operations Workflow



Client

A Leading Global Investment
Management & Wealth Advisory Firm

Industry

Investment Management
& Wealth Advisory

Focus

Investment Operations
Automation

The Challenge

A leading investment management and wealth advisory organization needed to extend an existing investment-operations automation environment to support additional investor types and fund managers.

The process involved retrieving investment documents from fund-manager portals, extracting relevant information, reviewing and approving captured data, posting approved information into Backstop, monitoring processing status, and generating operational reporting.

Document Complexity

Managing Capital Calls, Distributions, Statements, and Estimates.

Multi-Step Processing

Retrieve → Capture → Review
→ Approve → Post → Monitor.

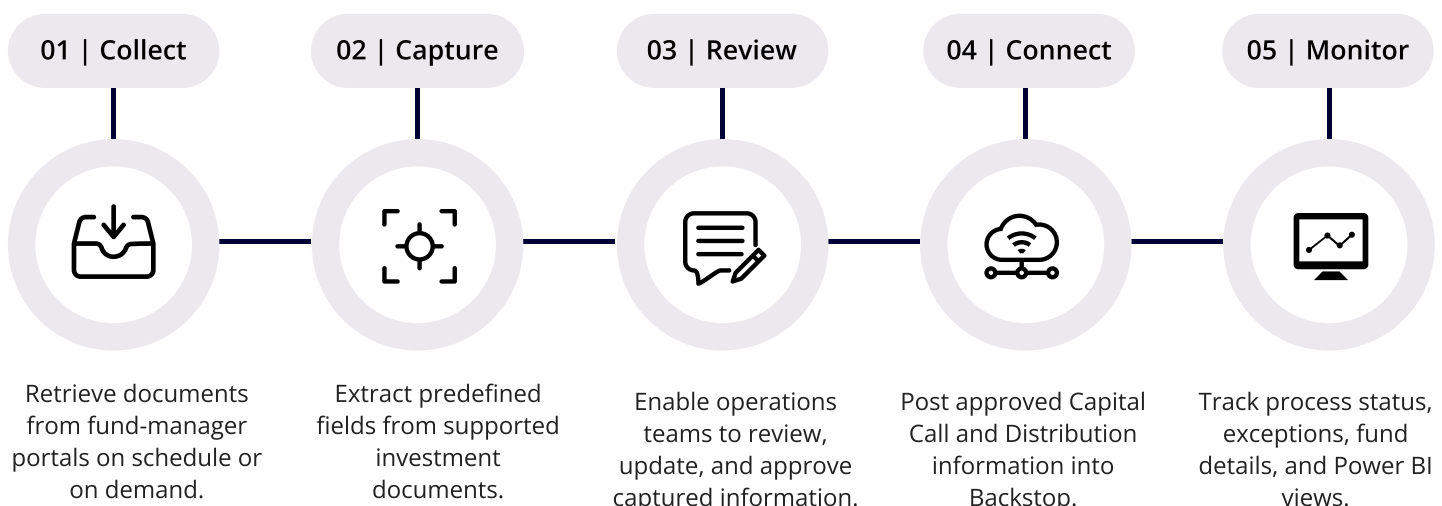
Operational Visibility

Improving visibility across data flow, exceptions, processing status, and reporting.

The eprotect Solution

Connecting the Investment Operations Workflow

eprotect connected document retrieval, data capture, human review, downstream posting, exception handling, and reporting into a controlled operating flow.



What eprotech Delivered

Portal & Scheduling Automation



Supported scheduled and on-demand document retrieval, authentication flows, file naming, and Azure upload.

Document Data Capture



Enabled mapped-field extraction with operational review and approval.

Backstop Connectivity



Posted approved Capital Call and Distribution data into Backstop and surfaced exception details.

Operational Controls



Added role-based access, process statuses, filters, fund-manager views, and monitoring.

Power BI Reporting



Extended reporting and enhanced portfolio views, filters, transformations, relationships, and data tables.

Business Value

Faster Processing



Supported faster fund-data processing than the traditional process described in scope.

Expanded Automation



Extended automation to additional investor types and fund managers.

Stronger Controls



Introduced a controlled review and approval step before downstream posting.

Improved Visibility



Improved visibility into processing status, exceptions, fund details, and reporting.

Flexible Operations



Supported recurring monthly and on-demand operating cycles.

Capabilities Demonstrated



Investment Operations

Document-intensive workflow expertise.



Automation

Document retrieval and data capture automation.



Connected Workflows

API-connected operational processes and Backstop integration.



Operational Controls

Review, approval, exception handling, and monitoring.



Data & Analytics

Power BI reporting and operational visibility.



Support Readiness

Ongoing support and maintenance capability.

The Outcome

A More Controlled, Connected & Visible Investment Operations Workflow

By connecting collection, data capture, review, integration, exception handling, and monitoring, eprotech helped extend automation while improving operational visibility across the investment-operations process.

Automation | Control | Visibility



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